

Day - 8 : Negation and Proof by Contradiction

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Negation $\neg$:

Logically $\neg P$ (read as "not P") means that the proposition P is false. In Lean4, $\neg P$ is often defined as implication $\neg P := P \rightarrow \text{false}$. Where **false** is a proposition that is inherently false (it has no proofs). So, $\neg P$ means "if P is true, it would lead to a Contradiction (false)". This definition is very powerful because it allows us to use the tactics we already know for implications (like **intro**) to prove negations.

Proving $\neg P$:

If you want to prove $\neg P$, given that $\neg P$ is $P \rightarrow \text{false}$, you would typically use the **intro** tactic:

- Assume P is true (e.g., **intro hP** where $hP : P$).
- Your goal becomes **False**.
- You then need to derive a contradiction (**False**) from hP and any other available hypotheses.

Using $\neg P$ (i.e., $h : P \rightarrow \text{False}$):

If you have a hypothesis $h : \neg P$ (which means $h : P \rightarrow \text{False}$), and you also manage to derive a proof of P (say, $hP_proof : P$), then you can obtain a proof of **False** by applying h to hP_proof : $h\ hP_proof$ would be a proof of **False**.

This $P \rightarrow \text{False}$ definition of negation is quite elegant.

A proof by contradiction works like this:

- To prove a proposition P : Assume $\neg P$ is true. If this assumption leads to logical impossibility (a contradiction, or **False**), then the original assumption $\neg P$ must be false, which means P must be true.
- To prove $\neg P$: Assume P is true. If this leads to **False**, then P must be false, so $\neg P$ is true.

Lean's core logic is constructive. In constructive logic, proving P by assuming $\neg P$ and deriving **False** is how $\neg\neg P$ is proven. To get from $\neg\neg P$ to P (which is what "proof by contraction for P " often implies) typically requires an appeal to classical logic, specifically the Law of Excluded Middle ($P \vee \neg P$). Lean4 allows you to use classical reasoning.

1. The **by_contra** Tactic : When you want to prove a proposition P using classical proof by contradiction, you can use the **by_contra** tactic. If your goal is P , and you use **by_contra h_np** (where h_np is a name you choose for the new hypothesis). This tactic will:

- Add $h_np : \neg P$ (i.e., $h_np : P \rightarrow \text{False}$) to your hypotheses.
- Change your goal to **False**.

You then need to use h_np and other available facts to derive a contradiction.

```
1 example (P : Prop) -- ... other hypotheses ... : P := by
2   by_contra h_not_P -- Assume h_not_P : ¬P, goal becomes False
3   -- ... proof steps to derive False ...
4   -- For instance, if you can show P from other means (call it h_P_somewhat):
5   -- exact (h_not_P h_P_somewhat) -- This would be h_not_P (P → False) applied to P,
   yielding False
```

2. The **absurd** Tactic: The **absurd** tactic is useful when you have already derived both a proposition P and its negation $\neg P$ in your context, and you want to conclude **False** (or even close any goal, because from **False**, anything follows by **False.elim**). If you have

- $hP : P$
- $hnP : \neg P$ (which is $P \rightarrow \text{False}$)

Then **absurd** hP hnP will prove **False**. Since anything follows from **False** (this is known as the principle of explosion, or **False.elim** in Lean), if your goal is, say, Q , and you can show **False** by **absurd** hP hnP , then **absurd** hP hnP can close the goal Q .

```
1 example (P Q : Prop) (hP : P) (hnP : ¬P) : Q := by
2   -- We have P and ¬P, which is a contradiction.
3   -- From a contradiction, any goal Q can be proven.
4   exact absurd hP hnP -- The type of 'absurd hP hnP' is False.
5   -- 'exact' uses False.elim to make it match goal Q.
6 -- Or more explicitly:
7 example (P Q : Prop) (hP : P) (hnP : ¬P) : Q := by
8   have h_false : False := absurd hP hnP
9   exact False.elim h_false
```

Often, if your goal is **False** directly, you might use **exact** (**hnP** **hP**). The **absurd** tactic can be a bit more expressive or useful if the goal isn't immediately **False** but you have reached a contradiction.

A note on classical logic:

The **by_contra** tactic effectively assumes the law of excluded middle ($P \vee \neg P$) for the proposition P . If you are working within purely constructive mathematics, you would avoid **by_contra** for proving positive (non-negated) statements unless $\neg \neg P \rightarrow P$ is constructively provable for that specific P . However, for much of standard mathematics formalized in Lean, classical logic (and thus **by_contra**) is commonly used.

Example - 1

A common classical result is that if the contrapositive ($\neg Q \rightarrow \neg P$) holds, then ($P \rightarrow Q$) also holds. Remembering that $\neg X$ is $X \rightarrow \text{False}$, the statement is :

$((Q \rightarrow \text{False}) \rightarrow (P \rightarrow \text{False})) \rightarrow (P \rightarrow Q)$.

We will prove this using **by_contra**.

Theorem : $\forall (P Q : \text{Prop}), ((Q \rightarrow \text{False}) \rightarrow (P \rightarrow \text{False})) \rightarrow (P \rightarrow Q)$.

```
1 example (P Q : Prop) (h_contrapositive : (Q → False) → (P → False)) : P → Q := by
2   -- Our goal is P → Q.
3   -- To prove an implication, we introduce the hypothesis.
4   intro hP
5   -- Now, hP : P is a hypothesis.
6   -- Our goal is Q.
7
8   -- At this point, proving Q directly might be tricky.
9   -- Let's try to prove Q by contradiction.
10  -- We assume ¬Q (not Q) and aim to derive False.
11  by_contra hnQ
12  -- Now, hnQ : ¬Q (which means hnQ : Q → False) is a hypothesis.
13  -- Our goal has changed to False.
14
15  -- We have h_contrapositive : (Q → False) → (P → False).
16  -- We also have hnQ : Q → False.
17  -- We can apply h_contrapositive to hnQ.
18  let hnP := h_contrapositive hnQ
19  -- Now, hnP : P → False (which means hnP : ¬P).
20
21  -- We have hP : P (from the 'intro hP' earlier).
22  -- And we just derived hnP : ¬P.
23  -- So, we have P and ¬P, which is a contradiction.
24  -- Applying hnP (which is P → False) to hP (which is P) gives False.
```

```

25 exact (hnP hP)
26 -- This proves False, which was our goal after 'by_contra'.

```

1. `intro hP`:

- Our initial goal is $P \rightarrow Q$. The standard way to prove this is to assume P and then prove Q .
- `intro hP` adds $hP : P$ to our hypotheses, and the goal becomes Q .

2. `by_contra hnQ`:

- We are now trying to prove Q . We decide to use proof by contradiction. `by_contra hnQ` does two things. First, it adds $hnQ : \neg Q$ (which is $Q \rightarrow \text{False}$) to our hypotheses. We are assuming Q is false. Second, it changes our goal to **False**. The strategy is now to show that assuming $\neg Q$ (along with P) leads to a contradiction.

3. `let hnP := h.contrapositive hnQ`:

- We have our main hypothesis `h.contrapositive` : $(Q \rightarrow \text{False}) \rightarrow (P \rightarrow \text{False})$.
- We also have $hnQ : Q \rightarrow \text{False}$ from our `by_contra` step.
- We can apply `h.contrapositive` to hnQ . Since `h.contrapositive` is a function expecting an argument of type $(Q \rightarrow \text{False})$, and $(P \rightarrow \text{False})$.
- We name this hnP . So, $hnP : P \rightarrow \text{False}$ (which means $hnP : \neg P$).

4. `exact (hnP hP)`:

- At this point, our goal is **False**.
- We have $hP : P$.
- We have $hnP : P \rightarrow \text{False}$.
- Applying hnP to hP gives us a proof of **False**.
- `exact` uses this proof of **False** to satisfy the goal.

This successfully shows that if $\neg Q$ leads to **False**, then our initial setup implies a contradiction, thus proving Q .

Example - 2

In the example above, the last step was `exact (hnP hP)`. Since hnP is $\neg P$ and hP is P , this directly yields **False**. We could also have written:

```

1 -- ..
2 -- let hnP := h.contrapositive hnQ -- hnP : ¬ P
3 -- We have hP : P
4 exact (absurd hP hnP)

```

Here, `absurd hP hnP` recognizes that hP and hnP are contradictory and itself evaluates to a proof of **False**. So `exact (absurd hP hnP)` also closes the goal. The `absurd` tactic can be more explicit when you want to highlight that you are using a direct contradiction between two existing hypotheses.

Asymmetry of Less Than $a < b \rightarrow \neg b < a$

```

1 variable (a b : ℝ)
2
3 example (h : a < b) : ¬ b < a := by
4   -- ¬ P mean P → False. So we assume b < a and try to prove False.
5   intro h' -- Assume h' : b < a
6   -- We have h : a < b and h' : b < a
7   -- lt_trans is the transitivity rule: x < y → y < z → x < z.
8   -- If we apply it to h and h' we get a < a

```

```

9   have : a < a := lt_trans h h'
10  -- Now we have a < a
11  -- lt_irrefl a is the irreflexivity rule : a < a → False.
12  apply lt_irrefl a this
13  -- This gives us 'False', which was our goal after intro h'.

```

Standard Negation Lemmas for Inequalities

These `#check` commands show the type of important lemmas from `mathlib`. They are often based on the law of trichotomy (for any a, b , exactly one of $a < b$, $a = b$ or $a > b$ holds) and require classical logic.

```

1 #check (not_le_of_gt : a > b → ¬ a ≤ b)
2 #check (not_lt_of_ge : a ≥ b → ¬ a < b)
3 #check (lt_of_not_ge : ¬ a ≥ b → a < b)
4 #check (le_of_not_gt : ¬ a > b → a ≤ b)

```

Negating a Universal Statement about Monotonicity

```

1 -- Goal : Prove that it is not true that every monotone function f has the property that f a
  ≤ f b → a ≤ b.
2 example : ¬ ∀ {f : ℝ → ℝ}, Monotone f → ∀ {a b}, f a ≤ f b → a ≤ b := by
3   -- We need to prove ¬ P. So we assume P and aim for False.
4   intro h -- Assume : ∀ {f : ℝ → ℝ}, Monotone f → ∀ {a b : ℝ}, f a ≤ f b → a ≤ b
5   -- To show a universal statement is false, we need a counterexample.
6   -- Our counterexample is the constant function f(x)=0
7   let f := fun x : ℝ => (0 : ℝ)
8
9   -- First, we need to prove our counterexample function IS monotone
10  have monof : Monotone f := by
11    -- To prove Monotone f, we need to show x ≤ y → f x ≤ f y
12    intros x y h_xy -- Assume x ≤ y . Goal is f x ≤ f y.
13    -- Since f x = 0 and f y = 0, the goal is 0 ≤ 0
14    -- le_refl 0 proves 0 ≤ 0
15    rfl
16
17 -- Now let's pick a specific a and b to make the implication fail.
18 -- We want f a ≤ f b to be true, but a ≤ b to be false.
19 -- Let a =1 and b=0. Then a ≤ b is 1 ≤ 0 , which is false.
20 -- f 1 ≤ f 0 is 0 ≤ 0 which is true.
21 have h' : f 1 ≤ f 0 := le_refl _
22
23 -- Now, let's use our assumption monof
24 -- It claims that For our f (since it is monotone), f a ≤ f b → a ≤ b must hold for all
  a and b
25 -- Let's apply it to our f and monof
26 have : (1 : ℝ) ≤ 0 := h monof h'
27
28 linarith

```

Proving $x \leq 0$ using `le_of_not_gt`

```

1 -- Goal : If x is less than every positive ε , then x ≤ 0.
2 example (x : ℝ) (h : ∀ ε > 0, x < ε) : x ≤ 0 := by
3   -- We want to use le_of_not_gt. This lemma states : ¬ x > 0 → x ≤ 0.
4   -- So if we can prove ¬ x > 0, we are done.
5   apply le_of_not_gt
6   -- Our new goal is ¬ x > 0, which mean x > 0 → False.
7   intro hx -- Assume x > 0. Goal is False.
8   -- Take ε = x, which is > 0 since hx : x > 0
9   specialize h x hx
10  -- But then x < x, which is impossible
11  exact lt_irrefl x h

```

Principle of Explosion

```
1 variable (a : ℕ)
2
3 -- Goal : If  $0 < 0$  (a false statement), then  $a > 37$  (an arbitrary statement)
4 example (h :  $0 < 0$ ) :  $a > 37$  := by
5   -- From a false statement, anything follows.
6   -- exfalso changes the current goal to False.
7   -- We are now saying I can prove False, therefore I can prove anything.
8   exfalso
9   -- Our goal is now False.
10  -- We can prove False because we know  $0 < 0$  is impossible.
11  -- lt_irrefl 0 is  $0 < 0 \rightarrow \text{False}$ .
12  apply lt_irrefl 0 h
```